

# The NSF Graduate Research Fellowship Program

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# Agenda

- ▶ Introductions
- ▶ Part 1 – Program Overview (eligibility, review criteria)
  - Short Break
- ▶ Part 2a – Personal Essay Brainstorm and Feedback
- ▶ Part 2b – Research Statement Brainstorm and Feedback
- ▶ Final Remarks & Questions

# Program Overview



- ▶ Purpose:
  - Help ensure the quality, vitality, and diversity of the **scientific and engineering** workforce of the United States.
  - Recognize and support outstanding graduate students pursuing full-time research-based master's and doctoral degrees in science/social science, technology, engineering, and mathematics (STEM) or in STEM education.

# Program Overview



- ▶ Prestigious early career award.
  - \$34,000/yr for three years over five years.
  - \$12,000/yr tuition supplement paid to institution.
  - ~2500 awards to be made
- ▶ Program solicitation, NSF 21-602  
<https://www.nsf.gov/pubs/2021/nsf21602/nsf21602.pdf>
- ▶ May only apply only once!
- ▶ Social Sciences Deadline: 5:00 PM local time, Oct 19, 2021.
- ▶ Reference Letter Deadline: 5:00 PM ET, October 29, 2021



# Eligibility Criteria

- ▶ U.S. citizens and permanent residents.
- ▶ Early-career graduate students.
- ▶ Pursuing research-based MS and PhD.
- ▶ NSF supported fields.
- ▶ Enrolled in accredited US institution.



## Academic Levels

- ▶ 1: Seniors/baccalaureates; no graduate study.
- ▶ **2: First-year graduate students.**
- ▶ **3: Second-year graduate students.**
- ▶ 4: >12 months graduate study (extenuating circumstance, Interruption in graduate study).

# Components of the Application

- ▶ Application must be submitted electronically via Research.gov web site:  
<https://www.research.gov/grfp/Login.do>
- ▶ **Personal, Relevant Background and Future Goals Statement (max 3 pages).**
- ▶ **Graduate Research Plan Statement (max 2 pages).**
- ▶ **“CV” section: Personal Information; Education, work and other experience; Proposed Field of Study; Proposed Graduate Study and Graduate School Information**
- ▶ **Transcripts.**
- ▶ **3 letters of recommendation (get 5, use best 3)**

# Reviewers (3) See a Single PDF File

- ▶ CV: level (1–4), Educational background, work experience, honors, awards, list of publications and conference presentations
- ▶ Personal Statement (3 pages)
- ▶ Graduate Research Statement (2 pages)
- ▶ Transcripts
- ▶ 3 letters of recommendation

# Two Main Review Criteria

- ▶ Intellectual Merit

- Potential to advance knowledge within the scientific field.

- ▶ Broader Impacts

- Potential to benefit society and contribute to specific, desired societal outcomes.

- ▶ Clearly label these two sections in both written statements.

# Intellectual Merit

- ▶ Potential to advance knowledge within field.
  - Strength of academic performance.
  - Research plan.
  - Publications, letters of reference.
- ▶ Academic performance.
  - Awards and honors, communication skills, international experience, independence, creativity, research plan, institutional match
  - Especially research experience.
  - Not all have to be met.

# Broader Impacts

- ▶ Potential to benefit society.
- ▶ Integrate research and education, diversity and underrepresented populations, enhance global scientific workforce, policy implications, communicate to diverse audiences, plans that benefit society, community outreach.
- ▶ Examples:
  - Experiences working with disadvantaged youth.
  - Family experiences.
  - Leadership in research or community setting.
- ▶ Not all have to be met.
- ▶ **Clearly label these two sections in written statement.**

# Elements of IM & BI

- ▶ What is the potential of the proposed activity to: a) advance knowledge and understanding within/across scientific field(s); b) benefit society or advance desired social outcomes?
- ▶ To what extent do the proposed activities suggest and explore creative, original or potentially transformative concepts?
- ▶ Is the research plan well-reasoned, well-organized, based on sound rationale?
- ▶ How well qualified is the applicant and institution to conduct proposed activities?
- ▶ Are there adequate resources available to applicant to carry out proposed activities?



# Application Review Form

Intellectual Merit Rating \*

Excellent  Very Good  Good  Fair  Poor

In the context of the five review elements, please evaluate the strengths and weaknesses of the application with respect to intellectual merit.

Intellectual Merit Comments \*

Broader Impacts Rating \*

Excellent  Very Good  Good  Fair  Poor

In the context of the five review elements, please evaluate the strengths and weaknesses of the application with respect to broader impacts.

Broader Impacts Comments \*

Summary Statement \*

Overall Score \*

Score must be a whole integer between 1 – 50



# Rating Applications

| Quality Groups (QG)  | Ratings (E – P) | Score (1–50)     |
|--|-----------------|------------------|
| <b>QG 1: Highly Meritorious</b><br>Recommended for Fellowship                | Excellent       | 50 – 40          |
| <b>QG 2: Meritorious</b><br>Recommended for Fellowship<br>/Honorable Mention | Very Good       | 39 – 30          |
| <b>QG 3: Not Recommended</b>   | Good            | 29 – 20          |
| Not eligible to receive<br>Fellowships/Honorable Mention                     | Fair<br>Poor    | 19 – 10<br>9 – 1 |



# Z scores

Raw Score – mean of individual panelist's scores

$$Z = \frac{\text{Raw Score} - \text{mean of individual panelist's scores}}{\text{Standard deviation of individual panelist's scores}}$$

- ▶ Normalize between panelists' scoring tendencies



# Application Review Process – Virtual Panel Activities

- ▶ Day 1 Panel Deliberations
  - Day 1 Ranking Report
  - Discrepancies resolution
  - Quality Group (QG) placement
- ▶ Panelists review and revise evaluations, if necessary
- ▶ Day 2 Panel Deliberations
  - Day 2: Discuss candidates at margin of QGs.

# Preparing a Competitive Application

# Love & Trust

- ▶ Need to make reviewers love and trust you.
- ▶ Don't just give information. Tell a compelling story.

*“A good story offers reviewers an arc of events and a protagonist they can identify with. A great story engages and excites them.”*

~Alan Paul, Giant Angstrom

- ▶ Identify pivotal moments or turning points in your life; place them in a structured plot; and describe them with dramatic flair

# Love

- ▶ Help reviewers connect with you personally:
  - What motivates you?
  - What are your goals?
    - E.g., What event convinced you to pursue graduate study? Which institution and why? What are your career goals? How do you envision your long-term success?
- ▶ Tell stories about situations in which they can imagine themselves doing the same thing you did.



# Trust

- ▶ Describe a solid work plan
- ▶ Showcase your Preparation
  - Catalog and describe relevant skills you've acquired:
    - Technical skills
    - Research project management skills
    - Communications skills

*What was the most difficult research (or other project/task) that you undertook? What made it hard? How did you handle it?*

# Personal Statement (Love):

- ▶ Tell your story
- ▶ Demonstrate your potential for STEM research
  - Experiences (personal and professional) that motivated and prepared you for pursuing a STEM career (research/industry/professional/volunteer)
    - *What was the project/activity? How did you become involved? Where did it take place? What was your contribution? What challenges did you face? What did you learn?*
  - Career aspirations and future goals – *How have your experiences shaped your goals?*

# Research Statement (Trust)

- ▶ Describe your Research Plan
  - Communicate your research idea and approach
  - Explain your research plan and methods
  - What do you expect to learn? How will you know if the project is successful?
  - What would you do next?
- ▶ Address NSF's review criteria (IM and BI)
- ▶ Avoid jargon and communicate clearly for non-specialists; make your contributions clear

# Observations from Prior Panelist

Former Associate Dean Saberi

- ▶ The program funds the person, not the project.
- ▶ Letters of reference play an important role.
- ▶ List any publications, conference presentations, local talks if you have them.
- ▶ If you have good GREs or GPA, put it in the personal statement.
- ▶ Mention broader impacts in both personal statement and research proposal.
- ▶ List volunteer work of any type (at museum, in community).

- ▶ Include any mentoring: lab tours to high school students, TA service, training TAs/RAs, tutoring.
- ▶ Write in potential implications for public policy. Name one or two public organizations to which your work may be relevant.
- ▶ Mention impact on STEM fields.
- ▶ Mention diversity:
  - How your research plan or career goals could help increased participation of URMs.
  - Mention if you are a first generation college or grad school.
  - Mention if you have URM background.
- ▶ To keep it easier for reviewers to read, use subheadings (background, methods, anticipated results) & figures, if appropriate.

# Observations from Grad Division

- ▶ Avoid clichés.
- ▶ Write about specific accomplishments, not broad statements.
- ▶ No sob stories: focus on resilience and be positive.
- ▶ Write about experiences in chronological order.
- ▶ Clearly state why you are pursuing a PhD.
- ▶ Don't use too much jargon.
- ▶ Get to your points quickly.
- ▶ Check grammar!

**When to apply?  
1st Year or 2nd Year?**



# Additional Resources

# NSF GRFP website <https://www.nsfgrfp.org> – and Webinars

**What is GRFP?**

The NSF GRFP recognizes and supports outstanding graduate students in NSF-supported STEM disciplines who are pursuing research-based master's and doctoral degrees at accredited US institutions. The five-year fellowship includes three years of financial support including an annual stipend of \$34,000 and a cost of education allowance of \$12,000 to the institution.

[Benefits](#) [Learn More »](#)

**Applicants**

GRFP welcomes applications from individuals who are pursuing full-time research-based master's and doctoral degrees in science, technology, engineering, and mathematics (STEM) or in STEM Education and who meet the eligibility requirements.

[Am I Eligible?](#) [FAQ's](#)

**Reference Writers**

Reference letters are a key component of a strong GRFP application package. The most effective reference letters provide detailed and specific information about how an applicant meets the NSF Merit Review Criteria of Intellectual Merit and Broader Impacts.

[Requirements](#) [FAQ's](#)

**Reviewers**

NSF welcomes scientists and engineers to serve as reviewers of GRFP applications. Serving as a GRFP Reviewer is an excellent opportunity to apply your research and career expertise to help identify future science and engineering leaders.

[Register Here](#) [FAQ's](#)

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# Extra Help

- ▶ UCI Graduate Resource Center:
  - Writing consultants
  - Recorded webinars on website
  - GRFP Office hours
  - Successful applications binder
  - For more information about the NSF GRFP and resources available for UCI applicants, contact:
    - Dr. Kayleigh Anderson-Natale at [grc@uci.edu](mailto:grc@uci.edu); 949-824-5196 or make appointment online
- ▶ **Next Social Sciences workshop – October 5th; 9:00–12:00 PM (draft essays to be submitted by September 28th)**

# More Resources

- ▶ MIT Communication Lab – Essay Tips:
  - Personal: <https://mitcommlab.mit.edu/nse/commkit/nsf-personal-statement/>
  - Research: <https://mitcommlab.mit.edu/cheme/commkit/nsf-fellowship-research-proposal/>
- ▶ Mallory Ladd website – Advice to Applicants:  
<http://www.malloryladd.com/nsf-grfp-advice.html>
- ▶ Winning Essays:  
<https://docs.google.com/spreadsheets/u/1/d/1xoezGhbtcpg3BvNdag2F5dTQM-XI2EELUgAfG1eUg0s/htmlview?usp=sharing&sle=true> – be sure to view those after 2015

**Questions?**

# Part II – Essay Preparation

# Personal Statement (Love):

- ▶ Tell your story
- ▶ Demonstrate your potential for STEM research
  - Experiences (personal and professional) that motivated and prepared you for pursuing a STEM career (research/industry/professional/volunteer)
    - *What was the project/activity? How did you become involved? Where did it take place? What was your contribution? What challenges did you face? What did you learn?*
  - Career aspirations and future goals – *How have your experiences shaped your goals?*



# Guiding Questions

- ▶ How did you become interested in the discipline you are pursuing? What events or experiences shaped your decision?
- ▶ Has your interest been “life long” or did you stumble into it?
- ▶ Was there a particular “aha!” moment that led you to your career choice? Or, was it a series of events or experiences?
- ▶ Were you inspired by a particular individual? Who? How?
- ▶ What other experiences have you had that have “defined” you as a person and scholar/scientist?
- ▶ What opportunities have you had (or created) to undertake research? What did you do to pursue these?  
What did you learn from the experience?

# Research Statement (Trust)

- ▶ Describe your Research Plan
  - Communicate your research idea and approach
  - Explain your research plan and methods
  - What do you expect to learn? How will you know if the project is successful?
  - What would you do next?
- ▶ Address NSF's review criteria (IM and BI)
- ▶ Avoid jargon and communicate clearly for non-specialists; make your contributions clear

# Guiding Questions

- ▶ What is the problem or puzzle you want to solve?
- ▶ What's already been done (and how does it fall short of fully solving the problem or puzzle)?
- ▶ What is your "special sauce" (*the special opportunity you present for the funder to really make a difference with this award*)?
- ▶ What are you going to do? (*What methods would be most appropriate and why? What do you expect to come out of the research?*)

# Final Comments

- ▶ Successful proposals generate excitement
- ▶ Open with a “hook” – an interesting, attention grabbing statement – tell a compelling story
- ▶ Align statements with agency’s mission, goals, and review criteria – NSF: BASIC SCIENCE
- ▶ Follow all guidelines and formatting instructions
- ▶ Write clearly, in language understood by education person outside your discipline
- ▶ Check, re-check grammar, spelling, punctuation, etc. “Sloppy writing = Sloppy science”
- ▶ **Don’t get discouraged if you don’t get the fellowship.**

# Upcoming Workshops

- ▶ NSF GRFP Essay Workshop – October 5th
  - Draft essays to be submitted September 28th.
- ▶ Ford Foundation Workshops
  - Part 1 – Overview/Brainstorming – November 3rd
  - Part 2 – Essay workshopping – December 2nd.
- ▶ Other workshops for Graduate Students
  - Funding research in social/behavioral sciences – Jan
  - NSF Doctoral Dissertation Research – ~Feb
  - Art of Proposal Writing – late spring (May/June)